Course Description

The course deals with further understanding of urban sociology in conjunction with the earlier studies on rural aspects of development. It largely involves analyzing communities implementing smart growth, criticisms of the smart growth, description of suburbs, their histories,, the relationship of rural-urban fringe, modern times of housing estate, the frame works of recent and past public housing, introduction to welfare state in urban centers as opposed to rural areas, criticisms of welfare state, determining the metropolitan area as well as activities, policies implemented in the designated area of infrastructural developments.

Course Objectives

- To encourage students understand the levels and stages of development in the urban areas.
- To help them grasp knowledge in discovering measures of how urban centers should be organized.
- To help students analyse and assess the significance of fiscal infrastructural development with regard to population variables

Course Content

Introduction

- Meaning of Urban Sociology
- Official definitions
- History of Urban Sociology

Smart growth

- History of Smart growth
- Rationale of Smart growth
- Communities Implementing Smart growth
- Criticisms of Smart growth
- Characteristics of Smart growth

Suburbs

- Definitions of Suburbs
- Etymology and usage
- History of Suburbs
- Rural-Urban fringe
- Modern times of housing estate
- Public Housing

Welfare State

• Introduction of Welfare State

- History of Welfare states
- Its effects on Poverty
- Criticisms of Welfare states
- The Welfare state and Social expenditure

Metropolitan Area

- Meaning of Metropolitan Area
- Official definition
- Human Migrations
- Modern Migrations
- Theories of Human Migrations

Fiscal infrastructure Development

- Housing and finance
- Constructions and rehabilitations
- Modern technology
- Sustainability

Mode of delivery Face to face lectures
Assessment
Coursework 40%
Exams 60%
Total Mark 100%

Urban planning

Urban planning designs settlements, from the smallest towns to the largest cities. Shown here is <u>Hong Kong</u> from Western District overlooking <u>Kowloon</u>, across <u>Victoria</u> Harbour.

<u>Partizánske</u> in <u>Slovakia</u> – an example of a typical planned European industrial city founded in 1938 together with a shoemaking <u>factory</u> in which practically all adult inhabitants of the city were employed.

Urban planning is a technical and political process concerned with the development and <u>use of land</u>, <u>planning permission</u>, protection and use of the environment, <u>public welfare</u>, and the <u>design</u> of the <u>urban environment</u>, including air, water, and the <u>infrastructure</u> passing into and out of <u>urban areas</u>, such as <u>transportation</u>, <u>communications</u>, and <u>distribution networks</u>. Urban planning is also referred to as **urban and regional planning**, **regional planning**, **town planning**, **city planning**, **rural planning** or some combination in various areas worldwide. It takes many forms and it can share perspectives and practices with <u>urban design</u>.

Urban planning guides orderly development in urban, <u>suburban</u> and <u>rural areas</u>. Although predominantly concerned with the planning of <u>settlements</u> and communities, urban planning is also responsible for the planning and development of <u>water use</u> and resources, rural and agricultural land, parks and conserving areas of natural environmental significance. <u>Practitioners of urban planning</u> are concerned with research and analysis, strategic thinking, <u>architecture</u>, urban design, <u>public consultation</u>, policy recommendations, implementation and management. [3]

Urban planners work with the cognate fields of architecture, <u>landscape architecture</u>, <u>civil engineering</u>, and <u>public administration</u> to achieve strategic, policy and sustainability goals. Early urban planners were often members of these cognate fields. Today urban planning is a separate, independent professional discipline. The discipline is the broader category that includes different sub-fields such as <u>land-use planning</u>, <u>zoning</u>, <u>economic development</u>, <u>environmental planning</u>, and <u>transportation planning</u>. [4]

History

There is evidence of urban planning and designed communities dating back to the Mesopotamian, Indus Valley, Minoan, and Egyptian civilizations in the third millennium BCE. Archeologists studying the ruins of cities in these areas find paved streets that were laid out at right angles in a grid pattern. [5] The idea of a planned out urban area evolved as different civilizations adopted it. Beginning in the 8th century BCE, Greek city states were primarily centered on orthogonal (or grid-like) plans. [6] The ancient Romans, inspired by the Greeks, also used orthogonal plans for their cities. City planning in the Roman world was developed for military defense and public convenience. The spread of the Roman Empire subsequently spread the ideas of urban planning. As the Roman Empire declined, these ideas slowly disappeared. However, many cities in Europe still held onto the planned Roman city center. Cities in Europe from the 9th to 14th centuries, often grew organically and sometimes chaotically. But many hundreds of new towns were newly built according to preconceived plans, and many others were enlarged with newly planned extensions. Most of these were realized from the 12th to 14th centuries, with a peak-period at the end of the 13th. [7] From the 15th century on, much more is recorded of urban design and the people that were involved. In this period, theoretical treatises on architecture and urban planning start to appear in which theoretical questions are addressed and designs of towns and cities are described and depicted. During the Enlightenment period, several European rulers ambitiously attempted to redesign capital cities. During the Second French Republic, Baron Georges-Eugène Haussmann, under the direction of Napoleon III, redesigned the city of Paris into a more modern capital, with long, straight, wide boulevards. [8]

Planning and architecture went through a paradigm shift at the turn of the 20th century. The industrialized cities of the 19th century grew at a tremendous rate. The pace and

style of this industrial construction was largely dictated by the concerns of private business. The evils of urban life for the <u>working poor</u> were becoming increasingly evident as a matter for public concern. The <u>laissez-faire</u> style of government management of the economy, in fashion for most of the <u>Victorian era</u>, was starting to give way to a <u>New Liberalism</u> that championed intervention on the part of the poor and disadvantaged. Around 1900, theorists began developing urban planning models to mitigate the consequences of the <u>industrial age</u>, by providing citizens, especially factory workers, with healthier environments.

Urban planning started to become professionalized during this time. The <u>Town and Country Planning Association</u> was founded in 1899 and the first academic course in Great Britain on urban planning was offered by the <u>University of Liverpool</u> in 1909. In the 1920s, the ideas of <u>modernism</u> and uniformity began to surface in urban planning, and lasted until the 1970s. Many planners started to believe that the ideas of modernism in urban planning led to higher crime rates and social problems. Urban planners now focus more on individualism and diversity in urban centers.

Theories

Theories of urban planning

Planning theory is the body of scientific concepts, definitions, behavioral relationships, and assumptions that define the body of knowledge of urban planning. There are eight procedural theories of planning that remain the principal theories of planning procedure today: the rational-comprehensive approach, the incremental approach, the transactive approach, the communicative approach, the advocacy approach, the equity approach, the radical approach, and the humanist or phenomenological approach. [11]

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Background

The modern origins of urban planning lie in the movement for urban reform that arose as a reaction against the disorder of the <u>industrial city</u> in the mid-19th century. Urban planning exists in various forms and it addresses many different issues. Urban planning can include <u>urban renewal</u>, by adapting urban planning methods to existing cities suffering from decline. Alternatively, it can concern the massive challenges associated with urban growth, particularly in the <u>Global South</u>.

In the late 20th century, the term <u>sustainable development</u> has come to represent an ideal outcome in the sum of all planning goals. [4]

Blueprint planning

Following the rise of empiricism during the industrial revolution, the rational planning movement (1890–1960) emphasized the improvement of the built environment based on key spatial factors. Examples of these factors include: exposure to direct sunlight, movement of vehicular traffic, standardized housing units, and proximity to green-space. To identify and design for these spatial factors, rational planning relied on a small group of highly specialized technicians, including architects, urban designers, and engineers. Other, less common, but nonetheless influential groups included governmental officials, private developers, and landscape architects. Through the strategies associated with these professions, the rational planning movement developed a collection of techniques for quantitative assessment, predictive modeling, and design. Due to the high level of training required to grasp these methods, however, rational planning fails to provide an avenue for public participation. In both theory and practice, this shortcoming opened rational planning to claims of elitism and social insensitivity.

Although it can be seen as an extension of the sort of civic pragmatism seen in Oglethorpe's plan for Savannah or William Penn's plan for Philadelphia, the roots of the rational planning movement lie in Britain's Sanitary Movement (1800-1890). During this period, advocates such as <u>Charles Booth</u> and <u>Ebenezer Howard</u> argued for central organized, top-down solutions to the problems of industrializing cities. In keeping with the rising power of industry, the source of planning authority in the Sanitary Movement included both traditional governmental offices and private development corporations. In London and its surrounding suburbs, cooperation between these two entities created a network of new communities clustered around the expanding rail system. Two of the best examples of these communities are <u>Letchworth</u> in Hertfordshire and <u>Hampstead Garden Suburb</u> in Greater London. In both communities, architects <u>Raymond Unwin</u> and <u>Richard Barry Parker</u> exemplify the elite, top-down approach associated with the rational planning movement by using the planning process to establish a uniform landscape and architectural style based on an idealized medieval village.

From Britain, the rational planning movement spread out across the world. In areas undergoing industrialization themselves, British influences combined with local movements to create unique reinterpretations of the rational planning process. In Paris, architect Le Corbusier adopted rational planning's centralized approach and added to it a dedication to quantitative assessment and a love for the automobile. Together, these two factors yielded the influential planning aesthetic known as "Tower in the Park". In the United States, Frank Lloyd Wright similarly identified vehicular mobility as a principal planning metric. However, where Le Corbusier emphasized design through quantitative assessment of spatial processes, Wright identified the insights of local public technicians as the key design criteria. Wright's Broadacre City provides a vivid expression of what this landscape might look like.

Throughout both the United States and Europe, the rational planning movement declined in the later half of the 20th century. [8] The reason for the movement's decline was also its strength. By focusing so much on design by technical elites, rational planning lost touch with the public it hoped to serve. Key events in this decline in the United States include the demolition of the Pruitt-Igoe housing project in St. Louis and the national backlash against urban renewal projects, particularly urban expressway projects. [9]

Synoptic planning

After the "fall" of blueprint planning in the late 1950s and early 1960s, the synoptic model began to emerge as a dominant force in planning. Lane (2005) describes synoptic planning as having four central elements:

"(1) an enhanced emphasis on the specification of goals and targets; (2) an emphasis on quantitative analysis and predication of the environment; (3) a concern to identify and evaluate alternative policy options; and (4) the evaluation of means against ends (page 289)."[10]

<u>Public participation</u> was first introduced into this model and it was generally integrated into the system process described above. However, the problem was that the idea of a single public interest still dominated attitudes, effectively devaluing the importance of participation because it suggests the idea that the public interest is relatively easy to find and only requires the most minimal form of participation. [10]

Blueprint and synoptic planning both employ what is called the rational paradigm of planning. The rational model is perhaps the most widely accepted model among planning practitioners and scholars, and is considered by many to be the orthodox view of planning. As its name clearly suggests, the goal of the rational model is to make planning as rational and systematic as possible. Proponents of this paradigm would generally come up with a list of steps that the planning process can be at least relatively

neatly sorted out into and that planning practitioners should go through in order when setting out to plan in virtually any area. As noted above, this paradigm has clear implications for public involvement in planning decisions. [10]

Participatory planning

A public consultation event about urban planning in Helsinki

Participatory planning is an urban planning <u>paradigm</u> that emphasizes involving the entire community in the strategic and management processes of urban planning; or, community-level planning processes, urban or rural. It is often considered as part of <u>community development</u>. [111] Participatory planning aims to harmonize views among all of its participants as well as prevent conflict between opposing parties. In addition, marginalized groups have an opportunity to participate in the planning process. [12]

Incrementalism

Beginning in the late 1950s and early 1960s, critiques of the rational paradigm began to emerge and formed into several different schools of planning thought. The first of these schools is Lindblom's <u>incrementalism</u>. Lindblom describes planning as "muddling through" and thought that practical planning required decisions to be made incrementally. This incremental approach meant choosing from small number of policy approaches that can only have a small number consequences and are firmly bounded by reality, constantly adjusting the objectives of the planning process and using multiple analyses and evaluations. [13] Lane (2005) explains the public involvement implications of this philosophy. Though this perspective of planning could be considered a large step forward in that it recognizes that there are number of "public interests" and because it provides room for the planning process to be less centralized and incorporate the voices other than those of planners, it in practice would only allow for the public to be involved in a minimal, more reactive rather than proactive way. [10]

Mixed scanning model

The mixed scanning model, developed by Etzioni, takes a similar, but slightly different approach. Etzioni (1968) suggested that organizations plan on two different levels: the tactical and the strategic. He posited that organizations could accomplish this by essentially scanning the environment on multiple levels and then choose different strategies and tactics to address what they found there. While Lindblom's approach only operated on the functional level Etzioni argued, the mixed scanning approach would allow planning organizations to work on both the functional and more bigpicture oriented levels. Lane explains though, that this model does not do much more at improving public involvement since the planner or planning organization is still at its

focus and since its goal is not necessarily to achieve consensus or reconcile differing points of view on a particular subject.

By the late 1960s and early 1970s, planners began to look for new approaches because as happened nearly a decade before, it was realized that the current models were not necessarily sufficient. As had happened before, a number of different models emerged. Lane (2005) notes that it is most useful to think of these model as emerging from a social transformation planning tradition as opposed to a social guidance one, so the emphasis is more bottom-up in nature than it is top-down. [10]

Transactive planning

Transactive planning was a radical break from previous models. Instead of considering public participation as method that would be used in addition to the normal training planning process, participation was a central goal. For the first time, the public was encouraged to take on an active role in the policy setting process, while the planner took on the role of a distributor of information and a feedback source. Transactive planning focuses on interpersonal dialogue that develops ideas, which will be turned into action. One of the central goals is mutual learning where the planner gets more information on the community and citizens become more educated about planning issues.

Advocacy planning

Formulated in the 1960s by lawyer and planning scholar <u>Paul Davidoff</u>, the advocacy planning model takes the perspective that there are large inequalities in the political system and in the bargaining process between groups that result in large numbers of people unorganized and unrepresented in the process. It concerns itself with ensuring that all people are equally represented in the planning process by advocating for the interests of the underprivileged and seeking social change. [16][17] Again, public participation is a central tenet of this model. A plurality of public interests is assumed, and the role of planner is essentially the one as a facilitator who either advocates directly for underrepresented groups directly or encourages them to become part of the process. [10]

Bargaining model

The bargaining model views planning as the result of give and take on the part of a number of interests who are all involved in the process. It argues that this bargaining is the best way to conduct planning within the bounds of legal and political institutions. The most interesting part of this theory of planning is that makes public participation the central dynamic in the decision-making process. Decisions are made first and foremost by the public, and the planner plays a more minor role.

Communicative approach

Main article: Communicative planning

The communicative approach to planning is perhaps the most difficult to explain. It focuses on using communication to help different interests in the process understand each other. The idea is that each individual will approach a conversation with his or her own subjective experience in mind and that from that conservation shared goals and possibilities will emerge. Again, participation plays a central role under this model. The model seeks to include as a broad range of voice to enhance the debate and negotiation that is supposed to form the core of actual plan making. In this model, participation is actually fundamental to the planning process happening. Without the involvement of concerned interests there is no planning. [10]

Looking at each of these models it becomes clear that participation is not only shaped by the public in a given area or by the attitude of the planning organization or planners that work for it. In fact, public participation is largely influenced by how planning is defined, how planning problems are defined, the kinds of knowledge that planners choose to employ and how the planning context is set. [10] Though some might argue that is too difficult to involve the public through transactive, advocacy, bargaining and communicative models because transportation is some ways more technical than other fields, it is important to note that transportation is perhaps unique among planning fields in that its systems depend on the interaction of a number of individuals and organizations. [19]

Process

<u>Blight</u> may sometimes cause communities to consider redeveloping and urban planning.

Prior to 1950, Urban Planning was seldom considered a unique profession in Canada. [20] There were, and are, of course, differences from country to country. For example, the UK's Royal Town Planning Institute was created as a professional organisation in 1914 and given a Royal Charter in 1959. Town planning focused on top-down processes by which the <u>urban planner</u> created the plans. The planner would know architecture, surveying, or engineering, bringing to the town planning process ideals based on these disciplines. They typically worked for national or local governments. Urban planners were seen as generalists, capable of integrating the work of other disciplines into a coherent plan for whole cities or parts of cities. A good example of this kind of planner was Lewis Keeble and his standard textbook, *Principles and Practice of Town and Country Planning*, published in 1951. [21]

Changes to the planning process

Strategic Urban Planning over past decades have witnessed the metamorphosis of the role of the urban planner in the planning process. More citizens calling for democratic planning & development processes have played a huge role in allowing the <u>public</u> to make important decisions as part of the planning process. Community organizers and social workers are now very involved in planning from the grassroots level. The term advocacy planning was coined by <u>Paul Davidoff</u> in his influential 1965 paper, "Advocacy and Pluralism in Planning" which acknowledged the political nature of planning and urged planners to acknowledge that their actions are not value-neutral and encouraged minority and under represented voices to be part of planning decisions. [23] Benveniste argued that planners had a political role to play and had to bend some truth to power if their plans were to be implemented. [24]

<u>Developers</u> have also played huge roles in development, particularly by planning projects. Many recent developments were results of large and small-scale developers who purchased land, designed the district and constructed the development from scratch. The <u>Melbourne Docklands</u>, for example, was largely an initiative pushed by private developers to redevelop the waterfront into a high-end residential and commercial district.

Recent theories of urban planning, espoused, for example by <u>Salingaros</u> see the city as an <u>adaptive system</u> that grows according to process similar to those of <u>plants</u>. They say that urban planning should thus take its cues from such natural processes. [25] Such theories also advocate participation by inhabitants in the design of the urban environment, as opposed to simply leaving all development to large-scale construction firms. [26]

In the process of creating an urban plan or <u>urban design</u>, carrier-infill is one mechanism of spatial organization in which the city's figure and ground components are considered separately. The urban figure, namely buildings, are represented as total possible building volumes, which are left to be designed by architects in following stages. The urban ground, namely in-between spaces and open areas, are designed to a higher level of detail. The carrier-infill approach is defined by an urban design performing as the carrying structure that creates the shape and scale of the spaces, including future building volumes that are then infilled by architects' designs. The contents of the carrier structure may include street pattern, <u>landscape architecture</u>, open space, waterways, and other <u>infrastructure</u>. The infill structure may contain <u>zoning</u>, <u>building codes</u>, quality guidelines, and <u>Solar Access</u> based upon a <u>solar envelope</u>. Carrier-Infill urban design is differentiated from complete urban design, such as in the monumental axis of Brasília, in which the urban design and architecture were created together.

In carrier-infill <u>urban design</u> or urban planning, the negative space of the city, including landscape, open space, and infrastructure is designed in detail. The positive space, typically building site for future construction, are only represented as unresolved volumes. The volumes are representative of the total possible building envelope, which can then be infilled by individual architects.

Technical aspects of urban planning

Technical aspects of urban planning involve the applying scientific, technical processes, considerations and features that are involved in planning for <u>land use</u>, <u>urban design</u>, <u>natural resources</u>, <u>transportation</u>, and <u>infrastructure</u>. Urban planning includes techniques such as: predicting population growth, zoning, geographic mapping and analysis, analyzing park space, surveying the water supply, identifying transportation patterns, recognizing food supply demands, allocating healthcare and social services, and analyzing the impact of land use.

Technical aspects of <u>urban planning</u> involve the technical processes, considerations and features that are involved in planning for <u>land use</u>, <u>urban design</u>, <u>natural resources</u>, transportation, and infrastructure.

Towns and cities have been planned with <u>aesthetics</u> in mind. Here in <u>Bath, England</u>, 18th-century private sector development was designed to appear attractive.

In developed countries, there has been a backlash against excessive human-made clutter in the visual environment, such as <u>signposts</u>, signs, and hoardings. Other issues that generate strong debate among urban designers are tensions between <u>peripheral growth</u>, housing density and new settlements. There are also debates about the mixing tenures and <u>land uses</u>, versus distinguishing geographic zones where different uses dominate. Regardless, all successful urban planning considers urban character, local identity, respects heritage, pedestrians, traffic, utilities and natural hazards.

Planners can help manage the growth of cities, applying tools like <u>zoning</u> and <u>growth</u> <u>management</u> to manage the uses of land. Historically, many of the cities now thought whom? the most beautiful are the result of dense, long lasting systems of prohibitions and guidance about building sizes, uses and features. These allowed substantial freedoms, yet enforce styles, safety, and often materials in practical ways. Many conventional planning techniques are being repackaged using the contemporary term <u>smart growth</u>.

There are some cities that have been planned from conception, and while the results often do not turn out quite as planned, evidence of the initial plan often remains. (*See List of planned cities*)

The 20th and 21st century trend for <u>New Classical Architecture</u> seeks to develop aesthetically pleasing <u>smart growth</u> in urban areas and to continue <u>architectural tradition</u> and <u>classical design.[3][4]</u>

Safety and security

The medieval walled city of <u>Carcassonne</u> in <u>France</u> is built upon high ground to provide maximum protection from attackers.

Historically within the Middle East, Europe and the rest of the <u>Old World</u>, settlements were located on higher ground (for defense) and close to fresh water sources. [citation needed] Cities have often grown onto coastal and flood plains at risk of floods and storm surges. Urban planners must consider these threats. If the dangers can be localised then the affected regions can be made into parkland or <u>green belt</u>, often with the added benefit of open space provision.

Extreme <u>weather</u>, <u>flood</u>, or other emergencies can often be greatly mitigated with secure <u>emergency evacuation</u> routes and emergency operations centres. These are relatively inexpensive and unintrusive, and many consider them a reasonable precaution for any urban space. Many cities will also have planned, built safety features, such as <u>levees</u>, retaining walls, and shelters.

In recent years, [when?] practitioners have also been expected to maximise the accessibility of an area to people with different abilities, practicing the notion of "inclusive design," to anticipate criminal behaviour and consequently to "design-out crime" and to consider "traffic calming" or "pedestrianisation" as ways of making urban life more pleasant.

Some city planners try to control <u>criminality</u> with structures designed from theories such as <u>socio-architecture</u> or <u>architectural determinism</u> a subset of <u>environmental determinism</u>. These theories say that an urban environment can influence individuals' obedience to social rules and level of power. Refer to Foucault and the Encyclopaedia of the Prison System for more details. The theories often say that psychological pressure develops in more densely developed, unadorned areas. This stress causes some crimes and some use of illegal drugs. The antidote is believed to be more individual space and better, more beautiful design in place of <u>functionalism</u>. [citation needed]

Oscar Newman's <u>defensible space theory</u> cites the modernist housing projects of the 1960s as an example of environmental determinism, where large blocks of flats are surrounded by shared and disassociated public areas, which are hard for residents to identify with. As those on lower incomes cannot hire others to maintain public space such as security guards or grounds keepers, and because no individual feels personally responsible, there was a general deterioration of public space leading to a sense of alienation and social disorder.

Jane Jacobs is another notable environmental determinist and is associated with the "eyes on the street" concept. By improving 'natural surveillance' of shared land and facilities of nearby residents by literally increasing the number of people who can see it, and increasing the familiarity of residents, as a collective, residents can more easily detect undesirable or criminal behaviour, as, she argued, used to be the case in small traditional communities.

Jacobs went further, though, in emphasising the details in how to achieve this 'natural surveillance', in stressing the necessity of multiple uses on city streets, so that different people co-mingle with different stores and parks in a condensed part of city space. By doing this, as well as by making city streets interesting, she theorised a continuous animation of social actions during an average city day, which would keep city streets interesting and well occupied throughout a 24-hour period. She presented the North End in Boston, Massachusetts, as an idealisation of this persistent occupation and tasking in a condensed city space, as a model for criminal control.

The <u>"broken-windows" theory</u> argues that small indicators of neglect, such as broken windows and unkempt lawns, promote a feeling that an area is in a state of decay. Anticipating decay, people likewise fail to maintain their own properties. The theory suggests that abandonment causes crime, rather than crime causing abandonment. [6]

Some planning methods might help an elite group to control ordinary citizens. <u>Haussmann's renovation of Paris</u> created a system of wide boulevards which prevented the construction of barricades in the streets and eased the movement of military troops. In <u>Rome</u>, the <u>Fascists</u> in the 1930s created *ex novo* many new <u>suburbs</u> in order to concentrate <u>criminals</u> and poorer classes away from the elegant town.

Decay

<u>Urban decay</u> is a process by which a <u>city</u>, or a part of a city, falls into a state of disrepair and neglect. It is characterised by <u>depopulation</u>, <u>economic restructuring</u>, property abandonment, high <u>unemployment</u>, fragmented families, political <u>disenfranchisement</u>, <u>crime</u>, and desolate urban landscapes.

During the 1970s and 1980s, urban decay was often associated with central areas of cities in North America and Europe. During this time, changes in global economies, demographics, transportation, and policies fostered urban decay. Many planners spoke of "white flight" during this time. This pattern was different from the pattern of "outlying slums" and "suburban ghettos" found in many cities outside of North America and Western Europe, where central urban areas actually had higher real estate values.

Starting in the 1990s, many of the central urban areas in North America have been experiencing a reversal of the urban decay, with rising real estate values, smarter

development, demolition of obsolete social housing and a wider variety of housing choices. [8] However, reversal of urban decay (gentrification) often causes housing affordability in the inner city to decrease, with the consequence that poorer residents are pushed out, often to older inner and middle ring suburbs. This "suburbanisation of poverty" has important implications for siting affordable housing, and transportation and social services planning.

Slums

The rapid <u>urbanisation</u> of the last century caused more slums in the major cities of the world, particularly in developing countries. Planning resources and strategies are needed to address the problems of slum development. Many planners are calling for slum improvement, particularly the <u>Commonwealth Association of Planners</u>. [9] When urban planners work on slums, they must cope with racial and cultural differences to ensure that <u>racial steering</u> does not occur.

Slums were often "fixed" by clearance. However, more creative solutions are beginning to emerge such as <u>Nairobi</u>'s "<u>Camp of Fire</u>" program, where established slum-dwellers promise to build proper houses, schools, and community centres without government money, in return for land on which they have been illegally squatting on for 30 years. The "Camp of Fire" program is one of many similar projects initiated by <u>Slum Dwellers International</u>, which has programs in <u>Africa</u>, <u>Asia</u>, and <u>South America</u>.

Reconstruction and renewal

The overall area plan for the reconstruction of <u>Kabul</u>'s Old City area, the proposed <u>Kabul</u> - <u>City of Light Development</u>

Areas devastated by war or invasion challenge urban planners. Resources are scarce. The existing population has needs. Buildings, roads, services and basic infrastructure like power, water and sewerage are often damaged, but with salvageable parts. Historic, religious or social centres also need to be preserved and re-integrated into the new city plan. A prime example of this is the capital city of Kabul, Afghanistan, which, after decades of civil war and occupation, has regions of rubble and desolation. Despite this, the indigenous population continues to live in the area, constructing makeshift homes and shops out of salvaged materials. Any reconstruction plan, such as Hisham Ashkouri's City of Light Development, needs to be sensitive to the needs of this community and its existing culture and businesses.

Urban reconstruction development plans must also work with government agencies as well as private interests to develop workable designs.

New master-planned cities

In the 21st Century, countries in Asia and the <u>Middle-East</u> have embarked on plans to build brand new large cities. [11][12][13]Masdar City, a new city in <u>UAE</u>, cost \$18 billion. [12]

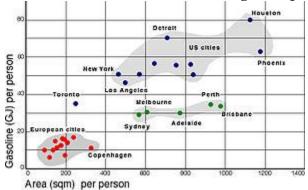
One expert has said building a brand new city for 1 million people would be regarded as a "terrifying concept" in the United Kingdom^[14] while in Asia brand new large cities are being built.^[14]

Many of these new cities are built to use new technologies such as <u>District cooling</u> and automatic waste collection^[15] in <u>GIFT City</u> or <u>Personal Rapid Transit</u> in Masdar City.^[18]

Saudi Arabia is building 5 new cities to control congestion and sprawl in existing cities. [11] While India is building 7 new cities to provide space and facilities that are missing in existing cities, such as cycling paths, parks and public transport within a 10-minute walk to every office and home. [19]

Transport

Very densely built-up areas require high capacity urban transit, and urban planners must consider these factors in long term plans (<u>Canary Wharf tube station</u>).



Although an important factor, there is a complex relationship between urban densities and car use.

Transport within urbanised areas presents unique problems. The density of an urban environment increases traffic, which can harm businesses and increase pollution unless properly managed. Parking space for private vehicles requires the construction of large parking garages in high density areas. This space could often be more valuable for other development.

Good planning uses <u>transit oriented development</u>, which attempts to place higher densities of jobs or residents near high-volume transportation. For example, some cities permit commerce and multi-story apartment buildings only within one block of train stations and multilane boulevards, and accept single-family dwellings and parks farther away.

<u>Floor area ratio</u> is often used to measure density. This is the floor area of buildings divided by the land area. Ratios below 1.5 are low density. Ratios above five constitute very high density. Most <u>exurbs</u> are below two, while most city centres are well above five. Walk-up apartments with basement garages can easily achieve a density of three. Skyscrapers easily achieve densities of thirty or more.

City authorities may try to encourage higher densities to reduce per-capita infrastructure costs. In the UK, recent years have seen a concerted effort to increase the density of residential development in order to better achieve sustainable development. Increasing development density has the advantage of making mass transport systems, district heating and other community facilities (schools, health centres, etc.) more viable. However critics of this approach dub the densification of development as 'town cramming' and claim that it lowers quality of life and restricts market-led choice. [citation needed]

Problems can often occur at residential densities between about two and five. [20] These densities can cause traffic jams for <u>automobiles</u>, yet are too low to be commercially served by <u>trains</u> or <u>light rail</u> systems. The conventional solution is to use <u>buses</u>, but these and light rail systems may fail where automobiles and excess road network capacity are both available, achieving less than 2% ridership. [21]

The <u>Lewis-Mogridge Position</u> claims that increasing road space is not an effective way of relieving traffic jams as <u>latent or induced demand</u> invariably emerges to restore a socially tolerable level of congestion.

Suburbanisation

Low-density (auto-oriented) suburban development near <u>Colorado Springs, Colorado</u>, United States

In some countries, declining satisfaction with the urban environment is held to blame for continuing <u>migration</u> to smaller towns and rural areas (so-called <u>urban exodus</u>). Successful urban planning supported <u>Regional planning</u> can bring benefits to a much larger <u>hinterland</u> or <u>city region</u> and help to reduce both congestion along transport routes and the wastage of energy implied by excessive <u>commuting</u>.

Environmental factors

<u>Environmental protection</u> and conservation are of utmost importance to many planning systems across the world. Not only are the specific effects of development to be mitigated, but attempts are made to minimise the overall effect of development on the local and global environment. This is commonly done through the assessment of <u>Sustainable urban infrastructure</u> and <u>microclimate</u>.

Zoning

The primary purpose of zoning is to segregate uses that are thought to be incompatible. In practice, zoning is used to prevent new development from interfering with existing residents or businesses and to preserve the "character" of a community. Zoning is commonly controlled by local governments such as counties or municipalities, though the nature of the zoning regime may be determined or limited by state or national planning authorities or through enabling legislation. [22] In Australia, land under the control of the Commonwealth (federal) government is not subject to state planning controls. The <u>United States</u> and other federal countries are similar. Zoning and urban planning in <u>France</u> and <u>Germany</u> are regulated by national or federal codes. In the case of Germany this code includes contents of zoning plans as well as the legal procedure.

Zoning may include regulation of the kinds of activities which will be acceptable on particular <u>lots</u> (such as open space, <u>residential</u>, <u>agricultural</u>, <u>commercial</u> or <u>industrial</u>), the densities at which those activities can be performed (from low-density <u>housing</u> such as single family homes to high-density such as <u>high-rise apartment buildings</u>), the height of building process is known as a <u>Sustainability Appraisal</u>.

Light and sound

The <u>urban canyon</u> effect is a colloquial, non-scientific term referring to street space bordered by very high buildings. This type of environment may shade the sidewalk level from direct sunlight during most daylight hours. While an oft-decried phenomenon, it is rare except in very dense, hyper-tall urban environments, such as those found in Lower and Midtown Manhattan, Chicago's Loop and Hong Kong's Kowloon and Central.

In urban planning, sound is usually measured as a source of pollution. Another perspective on urban sounds is developed in <u>Soundscape</u> studies emphasising that sound aesthetics involves more than noise abatement and decibel measurements. Hedfors^[23] coined 'Sonotope' as a useful concept in urban planning to relate typical sounds to a specific place.

Light pollution has become a problem in urban residential areas, not only as it relates to its effects on the night sky, but as some lighting is so intrusive as to cause conflict in the residential areas and paradoxically intense improperly installed security lighting may pose a danger to the public, producing excessive glare. The development of the full cutoff fixture, properly installed, has reduced this problem considerably.

Water and sanitation infrastructure

Water and <u>sanitation</u> services are key considerations in the planning of cities. This encompasses water provision, waste-water treatment, and sewage infrastructure. These

services are crucial for public health – thus, one aspect of urban planning is to consider how to best provide these services to urban residents in effective and cost-sensitive ways.

Within urban environments, there are a number of disparities with regards to access to these services. For example, as of 2006, among the poorest quartile of the urban population in India, over 80% lacked access to piped water at home and over half did not have sanitary flushes or pit toilets. Data collected in 2005–2006 revealed that under half of the urban poor could access adequate sanitation compared to about 95% of the urban non-poor. In India, slums compose a major part of the urban environment – one of the largest barriers to improving slum conditions is that many slums go undocumented. Because most slums are informal settlements with no tenure rights, their illegal status excludes them from official listings and thus excludes them from access to municipal water and sanitation services.

Economic status is highly correlated to water and sanitation service access in urban environments. But economic status is often tied to other demographic characteristics such as caste, ethnicity, and race. Therefore, access to water and sanitation services is an equity issue that faces urban planners working for urban governments. In the absence of policy to address these infrastructural disparities, the urban poor and minorities suffer disproportionately. A study of the social determinants of children's health in urban settings in India looked at data from India's National Family Health Survey and found that even within poor urban areas, caste status, religion, and sex are major factors which determine family employment and education level, factors which in turn affect access to sanitation and water.^[27]

Water and sanitation issues relate directly to health outcomes due to the susceptibility to disease experienced by populations that lack adequate access. In the 19th and 20th centuries, diseases like <u>cholera</u> were particularly feared due to their devastating effects and due to their proliferation in areas with poor waste management practices. Today diseases such as dengue fever, Hepatitis A, and intestinal parasites, are all examples of water-borne illnesses that affect the urban poor. <u>Diarrheal illnesses</u> are perhaps today the leading type of waterborne disease with cities like Jakarta experiencing disease rates as high as 50 cases per 1000 people. In India, waterborne disease accounts for the loss of roughly 180 million person-workdays annually, the economic equivalent of approximately 12 billion rupees. Thus inadequate access to water and sanitation among the urban poor and socially disadvantaged leads to systematic vulnerability to disease, which has both public health and economic consequences.

In Uganda, in order to address some of these access issues, NGOs and community-based organisations (CBOs) have stepped in. The government in Uganda has acknowledged the role of sanitation in improving public health among the poor, but as NGOs and CBOs have pointed out, the government has been unable to adequately

address the need for these services in urban environments or the high cost of procuring these services from private service providers. [30] However, NGOs and CBOs are inherently limited in their ability to provide sanitation services, however, due to the need to obtain permissions for undertaking infrastructure projects and due to the high costs of implementing them.

Latrines]

One aspect of sanitation infrastructure that is a major determinant of environmental health in slums is the latrine. There are a number of variables surrounding latrines and sewage which can play a critical role in determining health outcomes for urban families, including latrine location (in house versus out of house), latrine usage (family vs community), and latrine type (for example pit latrine versus toilet). Furthermore, the latrine is a critical aspect of urban household-level layouts and designs.

Waste-water]

Waste-water collection and treatment has always been an important consideration in urban planning, but it is becoming increasingly critical as urban population levels rise and water conservation becomes a growing concern. Many planners are now considering how to properly incorporate waste-water treatment into urban environments in effective, and equitable ways.

In the US, prior to the 19th century, cities often used a de-centralised privy vault-cesspool model for waste management. Urban households had vaults or tubs beneath their latrines, which would collect waste-water until the vaults needed to be emptied into a nearby cesspool. This model worked at the time due to relatively low urban populations. However, industrialisation, urbanisation, and population growth during the 19th century led to a dramatic increase in America's city-dwelling population and thus increased the need for a centralised waste-water collection and processing system. With the introduction of piped water, such a centralised system became possible as larger quantities of water were necessary for water-carriage waste removal. Since the 19th century, water-carriage sewage management has been preferred by planners due to its scalability.

However, more recently, de-centralised waste water management has made a resurgence among planners and researchers. While centralised water-carriage systems have more potential for scalability, de-centralised systems are simply more efficient because the waste-water is managed closer to where it is generated, thus allowing for each management system to be adapted to the local community/household needs. [32]

Urban planners

An urban planner is a professional who works in the field of urban planning for the purpose of optimizing the effectiveness of a community's land use and infrastructure. They formulate plans for the development and management of urban and suburban areas, typically analyzing land use compatibility as well as economic, environmental and social trends. In developing the plan for a community (whether commercial, residential, agricultural, natural or recreational), urban planners must also consider a wide array of issues such as sustainability, air pollution, traffic congestion, crime, land values, legislation and zoning codes.

The importance of the urban planner is increasing throughout the 21st century, as modern society begins to face issues of increased population growth, climate change and unsustainable development. An urban planner could be considered a green collar professional.

Urban sociology

Urban sociology is the <u>sociological</u> study of life and human interaction in <u>metropolitan</u> <u>areas</u>. It is a <u>normative</u> discipline of sociology seeking to study the structures, processes, changes and problems of an <u>urban area</u> and by doing so provide inputs for <u>urban planning</u> and policy making. In other words, it is the sociological study of cities and their role in the development of society. Like most areas of sociology, urban sociologists use statistical analysis, observation, social theory, interviews, and other methods to study a range of topics, including migration and demographic trends, economics, poverty, race relations and economic trends.

The philosophical foundations of modern urban sociology originate from the work of sociologists such as <u>Karl Marx</u>, <u>Ferdinand Tönnies</u>, <u>Émile Durkheim</u>, <u>Max Weber</u> and <u>Georg Simmel</u> who studied and theorized the economic, social and cultural processes of <u>urbanization</u> and its effects on <u>social alienation</u>, class formation, and the production or destruction of collective and individual identities.

These theoretical foundations were further expanded upon and analyzed by a group of sociologists and researchers who worked at the University of Chicago in the early twentieth century. In what became known as the <u>Chicago School of sociology</u> the work of <u>Robert Park</u>, <u>Louis Wirth</u> and <u>Ernest Burgess</u> on the inner city of <u>Chicago</u> revolutionized the purpose of urban research in sociology but also the development of <u>human geography</u> through its use of quantitative and ethnographic research methods. The importance of the theories developed by the Chicago School within urban sociology have been critically sustained and critiqued but still remain one of the most significant historical advancements in understanding <u>urbanization</u> and the city within the social sciences. [2]

Development and rise of urban sociology

Urban sociology rose to prominence within the academy in North America through a group of sociologists and theorists at the University of Chicago from 1915 to 1940 in what became known as the Chicago School of Sociology. The Chicago School of Sociology combined sociological and anthropological theory with ethnographic fieldwork in order to understand how individuals interact within urban social systems. [3][4] Unlike the primarily macro-based sociology that had marked earlier subfields, members of the Chicago School placed greater emphasis on micro-scale social interactions that sought to provide subjective meaning to how humans interact under structural, cultural and social conditions. The theory of symbolic interaction, the basis through which many methodologically-groundbreaking ethnographies were framed in this period, took primitive shape alongside urban sociology and shaped its early methodological leanings. Symbolic interaction was forged out of the writings of early micro-sociologists George Mead and Max Weber, and sought to frame how individuals interpret symbols in everyday interactions. With early urban sociologists framing the city as a 'superorganism', the concept of symbolic interaction aided in parsing out how individual communities contribute to the seamless functioning of the city itself. [5]

Scholars of the Chicago School originally sought to answer a single question: how did an increase in urbanism during the time of the Industrial Revolution contribute to the magnification of contemporary social problems? Sociologists centered on Chicago due to its 'tabula rasa' state, having expanded from a small town of 10,000 in 1860 to an urban metropolis of over two million in the next half-century. Along with this expansion came many of the era's emerging social problems - ranging from issues with concentrated homelessness and harsh living conditions to the low wages and long hours that characterized the work of the many newly arrived European immigrants. Furthermore, unlike many other metropolitan areas, Chicago did not expand outward at the edges as predicted by early expansionist theorists, but instead 'reformatted' the space available in a concentric ring pattern. [6] As with many modern cities the business district occupied the city center and was surrounded by slum and blighted neighborhoods, which were further surrounded by workingmens' homes and the early forms of the modern suburbs. Urban theorists suggested that these spatially distinct regions helped to solidify and isolate class relations within the modern city, moving the middle class away from the urban core and into the privatized environment of the outer suburbs.[7]

Due to the high concentration of first-generation immigrant families in the inner city of Chicago during the early 20th century, many prominent early studies in urban sociology focused upon the transmission of immigrants' native culture <u>roles</u> and <u>norms</u> into new and developing environments. Political participation and the rise in intercommunity organizations were also frequently covered in this period, with many metropolitan areas adopting census techniques that allowed for information to be stored and easily accessed by participating institutions such as the University of Chicago. Park, Burgess and McKenzie, professors at the University of Chicago and three

of the earliest proponents of urban sociology, developed the <u>Subculture Theories</u>, which helped to explain the often-positive role of local institutions on the formation of community acceptance and social ties. When race relations break down and expansion renders one's community members anonymous, as was proposed to be occurring in this period, the inner city becomes marked by high levels of social disorganization that prevent local ties from being established and maintained in local political arenas.

The rise of urban sociology coincided with the expansion of <u>statistical inference</u> in the <u>behavioural sciences</u>, which helped ease its transition and acceptance in educational institutions along with other burgeoning social sciences. <u>Micro-sociology</u> courses at the University of Chicago were among the earliest and most prominent courses on urban sociological research in the United States.

Evolution of urban sociology

The evolution and transition of sociological theory from the Chicago School began to emerge in the 1970s with the publication of <u>Claude Fischer's</u> (1975) "Toward a Theory of Subculture Urbanism" which incorporated <u>Bourdieu's</u> theories on <u>social capital</u> and <u>symbolic capital</u> within the invasion and succession framework of the Chicago School in explaining how cultural groups form, expand and solidify a neighbourhood. The theme of transition by subcultures and groups within the city was further expanded by <u>Barry Wellman's</u> (1979) "The Community Question: The Intimate Networks of East Yorkers" which determined the function and position of the individual, institution and community in the urban landscape in relation to their community. Wellman's categorization and incorporation of community focused theories as "Community Lost", "Community Saved", and "Community Liberated" which center around the structure of the urban community in shaping interactions between individuals and facilitating active participation in the local community are explained in detail below:

Community lost: The earliest of the three theories, this concept was developed in the late 19th century to account for the rapid development of industrial patterns that seemingly caused rifts between the individual and their local community. Urbanites were claimed to hold networks that were "impersonal, transitory and segmental", maintaining ties in multiple social networks while at the same time lacking the strong ties that bound them to any specific group. This disorganization in turn caused members of urban communities to subsist almost solely on secondary affiliations with others, and rarely allowed them to rely on other members of the community for assistance with their needs.

Community saved: A critical response to the community lost theory that developed during the 1960s, the community saved argument suggests that multistranded ties often emerge in sparsely-knit communities as time goes on, and that urban communities often possess these strong ties, albeit in different forms. Especially among low-income

communities, individuals have a tendency to adapt to their environment and pool resources in order to protect themselves collectively against structural changes. Over time urban communities have tendencies to become "urban villages", where individuals possess strong ties with only a few individuals that connect them to an intricate web of other urbanities within the same local environment.

Community liberated: A cross-section of the community lost and community saved arguments, the community liberated theory suggests that the separation of workplace, residence and familial kinship groups has caused urbanites to maintain weak ties in multiple community groups that are further weakened by high rates of residential mobility. However, the concentrated number of environments present in the city for interaction increase the likelihood of individuals developing secondary ties, even if they simultaneously maintain distance from tightly-knit communities. Primary ties that offer the individual assistance in everyday life form out of sparsely-knit and spatially dispersed interactions, with the individual's access to resources dependent on the quality of the ties they maintain within their community. [9]

Along with the development of these theories, urban sociologists have increasingly begun to study the differences between the urban, rural and suburban environment within the last half-century. Consistent with the community liberated argument, researchers have in large part found that urban residents tend to maintain more spatially-dispersed networks of ties than rural or suburban residents. Among lowerincome urban residents, the lack of mobility and communal space within the city often disrupts the formation of social ties and lends itself to creating an unintegrated and distant community space. While the high density of networks within the city weakens relations between individuals, it increases the likelihood that at least one individual within a network can provide the primary support found among smaller and more tightly-knit networks. Since the 1970s, research into social networks has focused primarily on the types of ties developed within residential environments. Bonding ties, common of tightly-knit neighborhoods, consist of connections that provide an individual with primary support, such as access to income or upward mobility among a neighborhood organization. Bridging ties, in contrast, are the ties that weakly connect strong networks of individuals together. A group of communities concerned about the placement of a nearby highway may only be connected through a few individuals that represent their views at a community board meeting, for instance.[10]

However, as theory surrounding social networks has developed, sociologists such as <u>Alejandro Portes</u> and the <u>Wisconsin model of sociological research</u> began placing increased leverage on the importance of these weak ties. [11] While strong ties are necessary for providing residents with primary services and a sense of community, weak ties bring together elements of different cultural and economic landscapes in solving problems affecting a great number of individuals. As theorist Eric Oliver notes,

neighborhoods with vast social networks are also those that most commonly rely on heterogeneous support in problem solving, and are also the most politically active. [12]

As the suburban landscape developed during the 20th century and the outer city became a refuge for the wealthy and, later, the burgeoning middle class, sociologists and urban geographers such as Harvey Molotov, David Harvey and Neil Smith began to study the structure and revitalization of the most impoverished areas of the inner city. In their research, impoverished neighborhoods, which often rely on tightly-knit local ties for economic and social support, were found to be targeted by developers for gentrification which displaced residents living within these communities.[13] Political experimentation in providing these residents with semi-permanent housing and structural support - ranging from Section 8 housing to Community Development Block Grant programs- have in many cases eased the transition of low-income residents into stable housing and employment. Yet research covering the social impact of forced movement among these residents has noted the difficulties individuals often have with maintaining a level of economic comfort, which is spurred by rising land values and inter-urban competition between cities in as a means to attract capital investment. [14][15] The interaction between inner-city dwellers and middle class passers by in such settings has also been a topic of study for urban sociologists. [16][17]

Criticism

Many theories in urban sociology have been criticized, most prominently directed toward the ethnocentric approaches taken by many early theories that lay groundwork for urban studies throughout the 20th century. Early theories that sought to frame the city as an adaptable "superorganism" often disregarded the intricate roles of social ties within local communities, suggesting that the urban environment itself rather than the individuals living within it controlled the spread and shape of the city. For impoverished inner-city residents, the role of highway planning policies and other government-spurred initiatives instituted by the planner Robert Moses and others have been criticized as unsightly and unresponsive to residential needs. The slow development of empirically-based urban research reflects the failure of local urban governments to adapt and ease the transition of local residents to the short-lived industrialization of the city. [18]

Some modern social theorists have also been critical toward the apparent shortsightedness that urban sociologists have shown toward the role of culture in the inner city. William Julius Wilson has criticized theory developed throughout the middle of the twentieth century as relying primarily on structural roles of institutions, and not how culture itself affects common aspects of inner-city life such as poverty. The distance shown toward this topic, he argues, presents an incomplete picture of inner-city life. The urban sociological theory is viewed as one important aspect of sociology.

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